



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Douglas Gene Keithley

Serial No.: 10/635,073

Examiner: Unknown

Filing Date: August 6, 2003

Group Art Unit: 2644

Title: METHOD AND APPARATUS FOR VOLUME CONTROL

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☒ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☐ Under 37 CFR 1.97(c) together with *either* a:
☐ Statement under CFR 1.97(e), or
☐ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), *and*
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☒ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☒ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, *or*
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-3718** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

I hereby certify that this correspondence is being deposited with the United States Postal Service as:

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OR

☐ I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Date of Facsimile:

Typed Name: Mariko Mizuno

Signature: 

Respectfully submitted,

Douglas Gene Keithley

By



Robert W. Nelson
Attorney/Agent for Applicant(s)

Reg. No. 37,898

Date: September 27, 2006

Telephone No. (303) 298-9888

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.
10021152-1

SERIAL NO.
10/635,073

APPLICANT
Douglas Gene Keithley

FILING DATE
August 6, 2003

GROUP
2644

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		4,414,877	Nov. 15, 1983	Scott, Jr.
		3,796,831	March 12, 1974	Bauer
		6,016,075	Jan. 18, 2000	Hamo

FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
		GB 2343573	May 10, 2000	Application Solutions Ltd.		

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

		Combined Search and Examination Report Under Section 17 and 18(3) for Divisional British Patent Application No. 0609030.2 dated June 28, 2006

EXAMINER**DATE CONSIDERED**

* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)